

# Divide and Conquer Paradigm

The problem is divided into smaller sub-problems; we then recursively solve the smaller subproblems & then combine these to obtain the sol<sup>n</sup> to the original problem.

# Merge Sort.

In merge sort we are given

a seq<sup>n</sup> of numbers  $a_1, a_2, \dots, a_n$

We first divide the seq<sup>n</sup> into 2

subsequences of almost equal lengths.

We then recursively sort the two

subsequences & finally "merge" them  
to obtain the sorted seq<sup>n</sup>

For simplicity, we assume that

$n$  is a power of two

Merge sort consists of two procedures.

The first one is MERGE( $S, T$ )

whose inputs are two sorted seqs

$S$  and  $T$ . The output is a sorted

seq consisting of the elements of  $S$

and  $T$  in a sorted.

It works by repeatedly selecting the larger of two largest elements remaining in  $S \cup T$  & then selecting the selected element.

(Ex. Write down a pseudo-code for this procedure).

The other procedure is  $\text{Sort}(i, j)$  that sorts the subseq<sup>n</sup>  $x_i, x_{i+1}, \dots, x_j$ .

SORT(i, j) (Here also we assume that  
the length of the seq<sup>n</sup> is  $2^k$   
for some  $k \geq 0$ ).

If  $i = j$  then return  $x_i$

else

begin

$m \leftarrow \frac{i+j-1}{2}$

$S \leftarrow \text{SORT}(i, m)$

$T \leftarrow \text{SORT}(m+1, j)$

return  $\text{MERGE}(S, T)$ .

Ex Prove the correctness of MERGE SORT

Complexity Let  $T(n)$  be the no. of  
comparisons required to sort a seq<sup>n</sup>  
of  $n$  elements. Then  $T(n)$  satisfies

The following recurrence

$$T(n) = \begin{cases} 0 & \text{if } n=1 \\ 2T(n/2) + (n-1) & \text{if } n > 1 \end{cases}$$

$$n = 2^k.$$

$$T(n) = T(2^{k-1}) = 2T(2^{k-2}) + (2^{k-1})$$

$$= 2 \left\{ 2T(2^{k-3}) + (2^{k-2}) \right\}$$

$$= 2^2 T(2^{k-2}) + (2^k) + (2^k)$$

$$\dots$$

$$= 2^{k-1} T(2) + 2^k \underbrace{(k-1)} - (1 + 2 + 2^2 + \dots + 2^{k-1})$$

$$= O(n \log n)$$

$$T(n) = \begin{cases} 0 & \text{if } n = 1. \\ T(\lceil \frac{n}{2} \rceil) + T(\lfloor \frac{n}{2} \rfloor) + (n-1) & \end{cases}$$

Show that  $T(n) \leq n \lceil \log_2 n \rceil$ .



## 2. Counting Inversions.

Def<sup>n</sup>. Let  $A[1, n]$  be an array  
of distinct numbers.

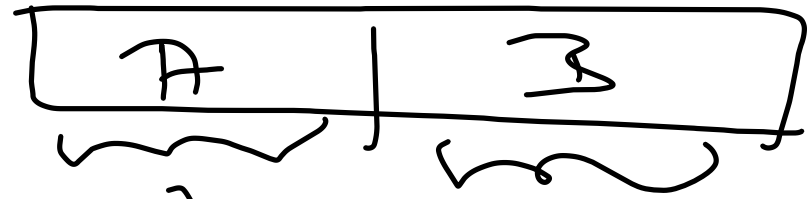
If  $i < j$  and  $A[i] > A[j]$ , then

the pair  $(i, j)$  is called an inversion.

If  $A[1, n]$  is sorted then the # of  
inversions is 0.

# Algorithm SORT-AND-COUNT (L)

Input a list or array



Output

The # of inversions in L  
and L in sorted order.

If L has one element

then return (L)

else divide L into two halves A and B

$(x_A, A) \leftarrow \text{SORT-AND-COUNT}(A)$

$(x_B, B) \leftarrow \text{SORT-AND-COUNT}(B)$

$b_j < a_i < a_{i+1} < \dots$   
 $(\delta_{AB}, L) \leftarrow \text{MERGE-AND-COUNT}(A, B)$   
 return  $\delta_A + \delta_B + \delta_{AB}$ .

The following procedure counts the no. of inversions  $(a, b)$  with  $a \in A$  &  $b \in B$ .

Procedure MERGE-AND-COUNT  $(A, B)$ .

• Scan  $A$  and  $B$  from left to right

• Compare  $a_i$  and  $b_j$

• if  $a_i < b_j$  then  $a_i$  is not inverted with any element of  $B$

• if  $a_i > b_j$ , then  $b_j$  is inverted with every other element in  $A$

Increase the count of inversions by  $|A|$

• Append the smaller element to the sorted list

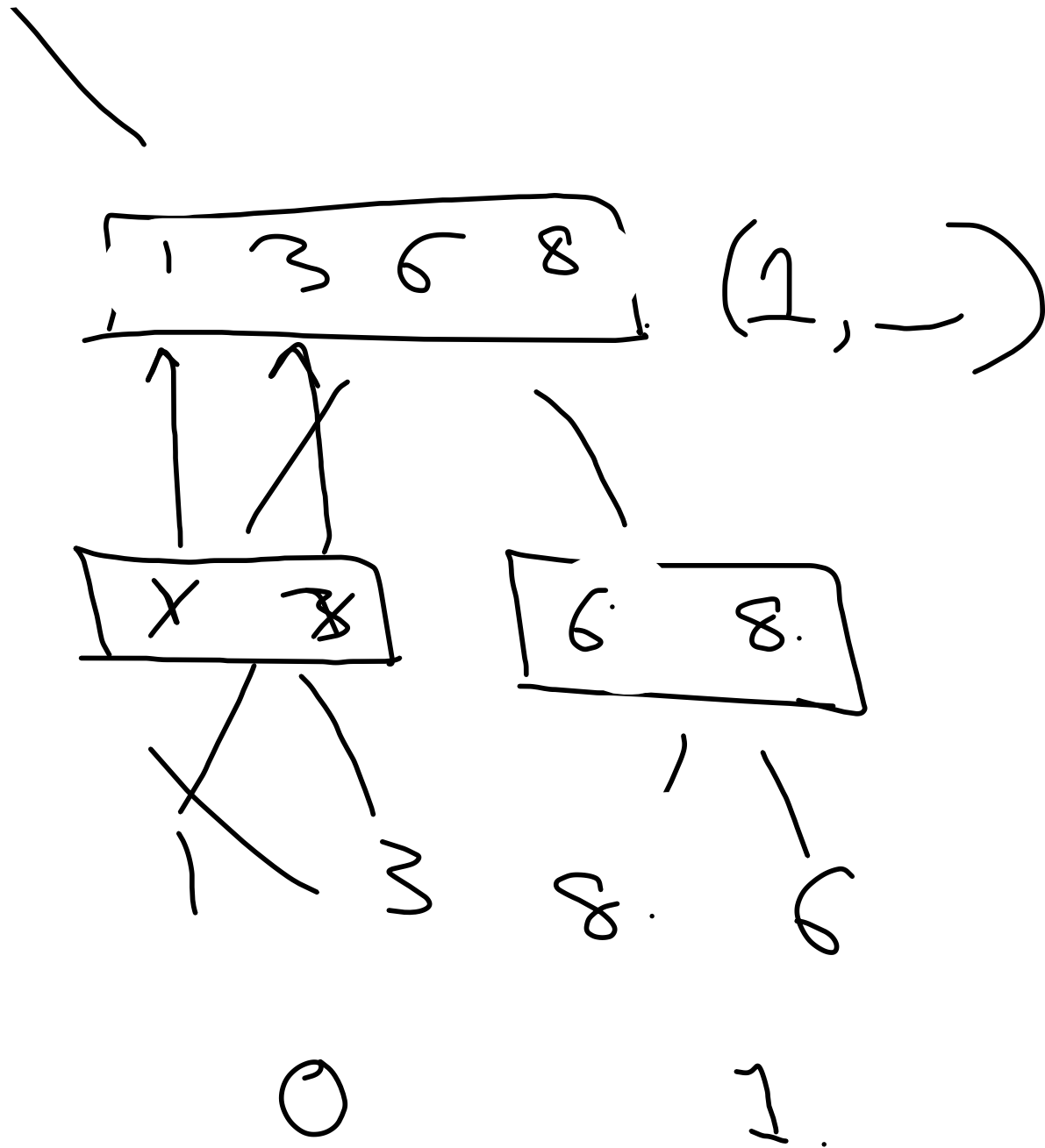
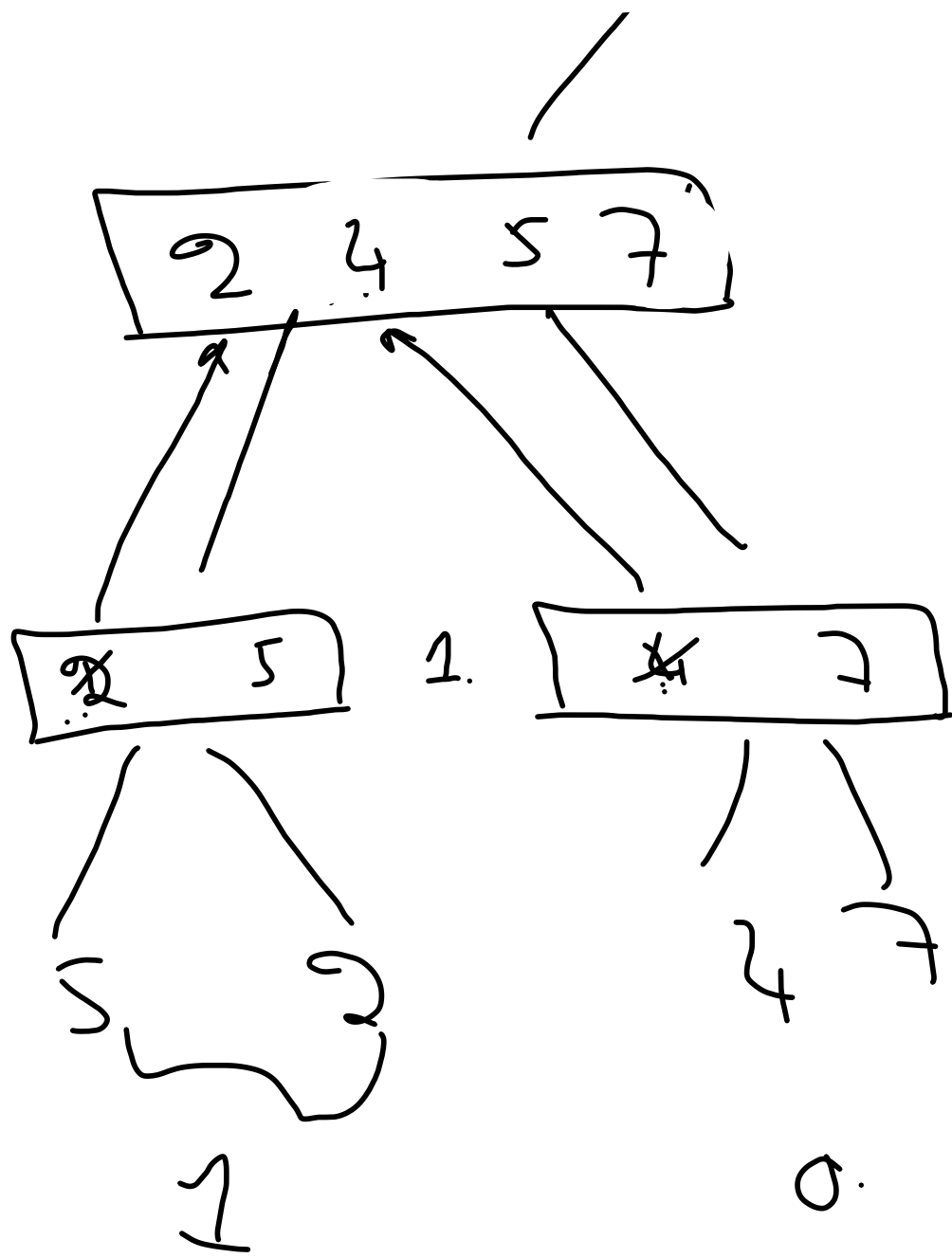
Thm Algorithm SORT-AND-COUNT

Counts the no. of inversion in  $O(n \log n)$  time.

Pf  $T(n) = \begin{cases} 0 & \text{if } n=1 \\ T(\lfloor \frac{n}{2} \rfloor) + T(\lfloor \frac{n}{2} \rfloor) + O(n) & \text{if } n > 1 \end{cases}$

$\therefore T(n) = O(n \log n)$

2,



### 3 Closest Pair of points.

Find the closest pair of points in a given set  $Q$  of  $n$  points in a plane. Brute-force method requires  $O(n^2)$  time. We shall give a Divide and conquer alg. that requires  $O(n \log n)$  steps.

# Divide-and-conquer algorithm

Each invocation of the recursive call takes as input a subset  $P \subseteq Q$  and two arrays  $X$  and  $Y$  each containing all pts of  $P$ . The array  $X$  is sorted by monotonically increasing  $x$ -coordinate and  $Y$  is sorted by monotonically increasing  $y$ -coordinate.

Each recursive call with inputs  $P, x, y$   
first checks if  $|P| \leq 3$ . If so, then  
our alg. will output the closest by  
a brute-force method. Otherwise, we  
make the following divide-and-conquer  
algorithm.



Divide Find a vertical line  $l$  dividing  $P$  into two sets  $P_L$  and  $P_R$  s.t.

$$|P_L| = \left\lceil \frac{|P|}{2} \right\rceil, \quad |P_R| = \left\lfloor \frac{|P|}{2} \right\rfloor. \quad \text{The}$$

points of  $P_L$  are on or to the left of  $l$ .  
While the points of  $P_R$  are on or to the

right of  $l$ . The array  $X$  is divided into

$X_L$  and  $X_R$  containing the points of  $P_L$

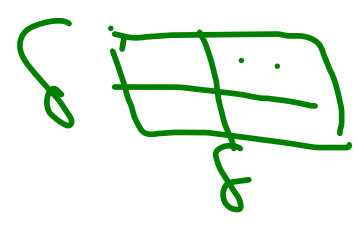
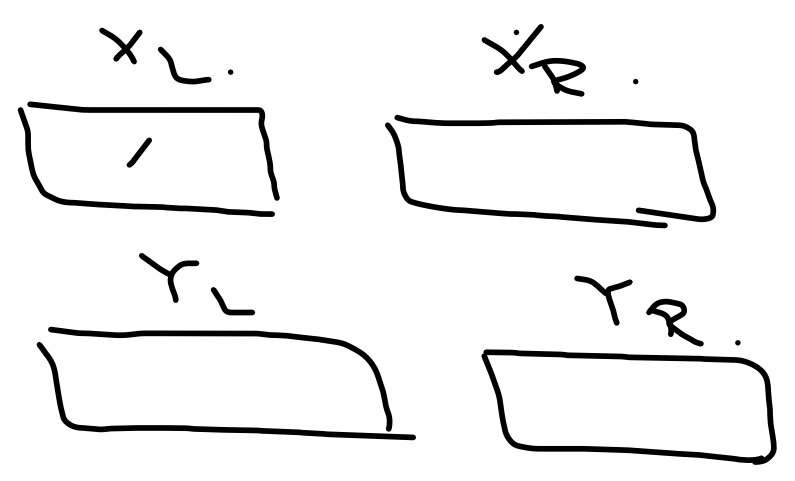
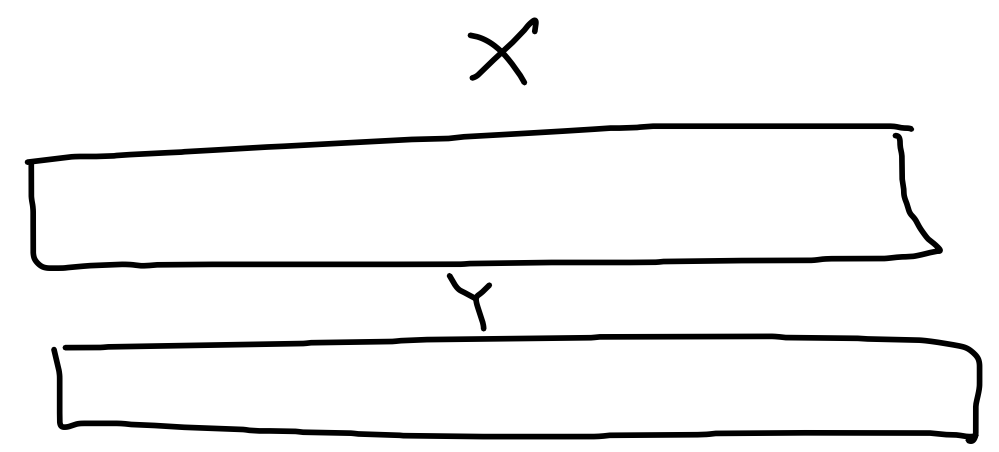
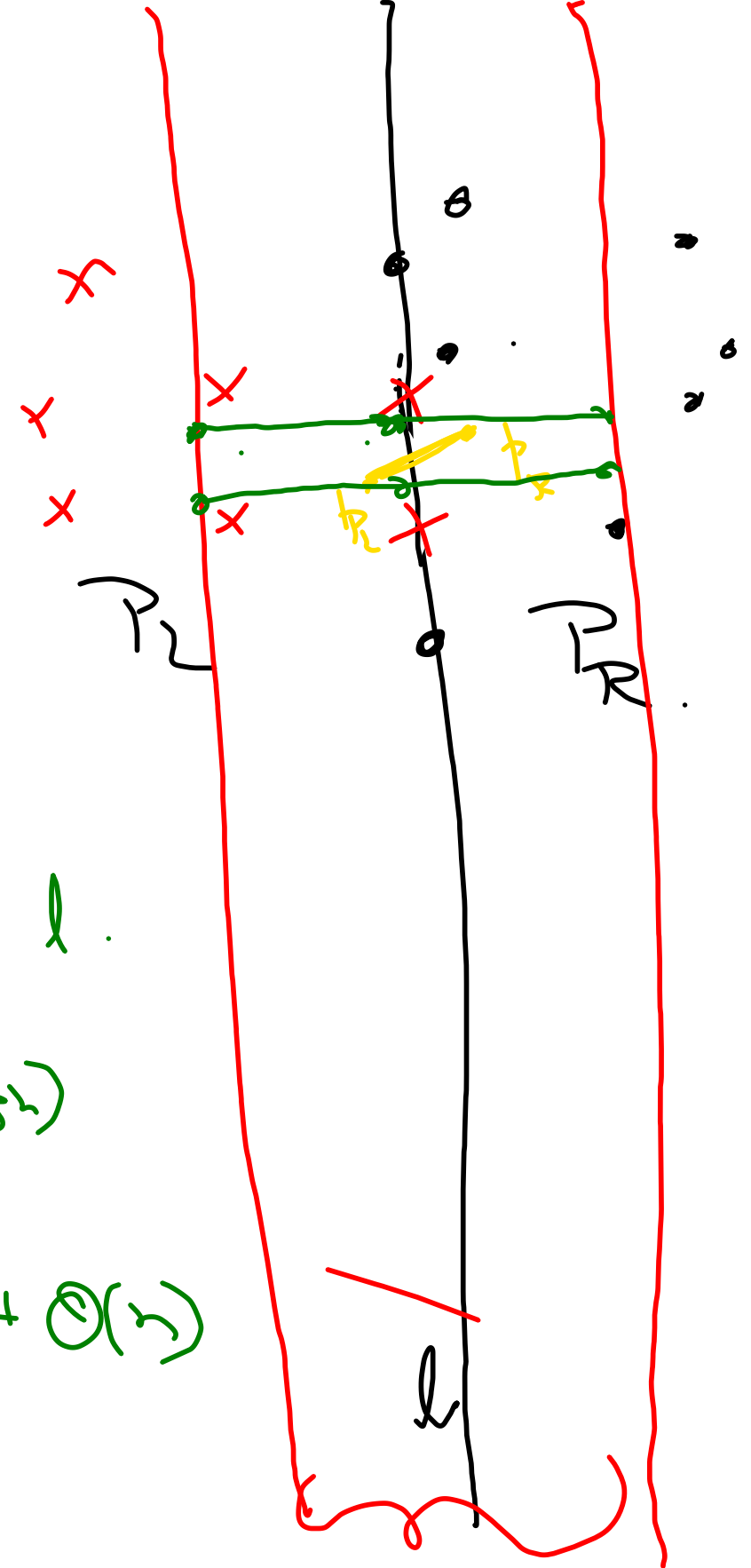
and  $P_R$  respectively, each sorted acc<sup>n</sup> to

the  $x$ -coordinate.

$\exists \delta A$   $p_L$   
 $\& p_R$  lie  $\#$   
 in the  $\delta x \times 2\delta$   
 rectangles  
 centered at  $l$ .

$T(n) = O(n \log n)$

$T(n) = 2T(n/2) + O(n)$



Given a sorted array  $Y$

$P \rightarrow P_L \& P_R.$

$Y[i] \in P_L$   
→  $P_L$

Similarly  $\gamma$  is divided into  $\gamma_L$  and  $\gamma_R$ .

Conquer Make two recursive calls, first to find the closest pair of points in  $P_L$  and the other to find the closest pair in  $P_R$ .  
The inputs to the first recursive call are  $P_L$ ,  $\gamma_L$  and  $\gamma_L$  while the inputs to the 2nd call are  $P_R$ ,  $\gamma_R$  and  $\gamma_R$ . Let the closest dist<sup>s</sup> for  $P_L$  and  $P_R$  be  $\delta_L$  &  $\delta_R$  respectively.  
Let  $\delta = \min\{\delta_L, \delta_R\}$ .

Combine The closest pair is either one of  
pairs returned by one of the recursive calls

or a pair of pt. with one point in  $P_1$   
and other pt. in  $P_2$  and the dist<sup>n</sup> between

them is  $< \delta$ . Our alg. will find such a pair  
with one pt. in  $P_1$  & the other pt. in  $P_2$  with  
dist<sup>n</sup>  $< \delta$ . If such a pair exists, then each

the each ~~is~~ is within  $\delta$  units of line  $l$ .

Hence both pts lie within the  $2\delta$ -vertical

Strip Centred at  $l$ . To find such a pair

we proceed as follows:

• from array  $Y'$  from  $Y$  by deleting all points  
not in the  $2\delta$ -strip.  
Sort  $Y'$  acc<sup>n</sup> to  $x$ -coordinates

• for each pt.  $p$  in  $Y'$ , we look for pts  
in  $Y'$  which are within  $\delta$ -dist<sup>n</sup>? We shall  
show below that we need to consider  
only 7 pts. following  $p$ . Compute these 7 dist<sup>n</sup>  
& upgrade the smallest dist<sup>n</sup>  $\delta'$  among all  
pairs in  $Y'$   
• if  $\delta' < \delta$ , then we have found a smaller pair  
than those returned by the recursive  
calls.

